



Sommer Semester 2007/2008

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EUROPEANIZATION AND NATIONAL ADAPTATIONS

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EUROPEANIZATION AND NATIONAL ADAPTATIONS

Background and Structure of the Course

This course explores the relationship between Europeanization and national adaptations to EU requests. It is divided into three main parts. **Part I** is intended to provide a brief overview of the main **EU integration theories, concepts and challenges**. Here, not only the most often discussed theoretical approaches (*federalism, neo-functionalism, liberal inter-governmentalism, and multi-level governance*) are explored (Unit 1), but also a brief overview of the main **EU Integration concepts** (e.g. *top-down vs. bottom-up Europeanization, misfit, compliance*) (Unit 2) **and challenges** (*democratic deficit, joint-decision trap, positive vs. negative integration, enlargement (fatigue), absorption capacity, EU constitutional reform*) is provided (Unit 3). **Part II** (Unit 4, 5, 6, and 7) investigates more in details the **Europeanization of public policies** in four crucial areas: **economic policy** (Unit 4), **social policy** (Unit 5), **environmental policy** (Unit 6) and **security policy** (Unit 7). Important issues covered in this part concern the establishment of the European Monetary Union (EMU) as a unifying factor (Unit 4), European Integration and recalibration of European welfare states (Unit 5), Europeanization of national environmental policies (Unit 6), and European Foreign and Security Policy (EFSP) (Unit 7). **Part III** concludes the course investigating the main **problems of the current system of EU governance**. Here, issues related to **economic governance** (Unit 8), **political governance** (Unit 9) and **social governance** (Unit 10) are discussed. In particular, these involve the main economic governance mechanisms put in place at EU level (Unit 8), the political system of the EU and its repercussions on national politics (Unit 9), as well as the impact of the main EU social governance instruments (e.g. the Open Method of Coordination – OMC-) on national policy-making (Unit 10).

PART I: INTRODUCTION TO THEORIES OF EU INTEGRATION

UNIT 1. THEORIES OF EU INTEGRATION

- **Federalism:** Burgess, M. 2004, "Federalism", in A. Wiener and T. Diez (eds.), *European Integration Theory*, Oxford: Oxford University Press, pp. 25-44.
- **Neo-functionalism:** Schmitter, P.C. 2004, "Neo-Functionalism", in A. Wiener and T. Diez (eds.), *European Integration Theory*, Oxford: Oxford University Press, pp. 45-74.
- **Liberal Intergovernmentalism:** Schimmelfennig, F. 2004, "Liberal Intergovernmentalism", in A. Wiener and T. Diez (eds.), *European Integration Theory*, Oxford: Oxford University Press, pp. 75-94.
- **Multi-Level Governance:** Marks, G., L. Hooghe and K. Blank (1996), 'European Integration from the 1980s: state-centric v. multilevel governance', *Journal of Common Market Studies*, 34 (3), 341-378.

UNIT 2. EUROPEANIZATION CONCEPTS

- **Introduction to Main Concepts:** Eising, R. 2003, "Europäisierung und Integration. Konzepte", in Jachtenfuchs, M. and Kohler-Koch, B. (eds), *Europäische Integration*. 2. Auflage, Leske+Budrich, Opladen, pp. 387-416; Pollack, M. A. 2005. "Theorizing EU Policy-Making", in Wallace, H., Wallace, W. and Pollack, M.A., *Policy-Making in the European Union*. 5th edition, Oxford: Oxford University Press. Radaelli, C. M. 2003, "The Europeanization of Public Policies", in K. Featherstone and C. M. Radaelli, *The Politics of Europeanization*, Oxford: Oxford University Press, pp. 27-56.
- **Misfit:** Börzel, T.A. & Risse, T. 2003, 'Conceptualising the Domestic Impact of Europe', in *The Politics of Europeanization*, eds. K. Featherstone & C. Radaelli, Oxford University Press, Oxford, pp. 55-78.
- **Compliance:** Falkner, G. & Treib, O. 2007. *Three Worlds of Compliance or Four? The EU15 Compared to New Member States*, *Political Science Series no. 112*, Institute for Advanced Studies, Vienna.

UNIT 3: CHALLENGES AND PROBLEMS OF EU INTEGRATION

- **Democratic Deficit:** Follesdal, A. & Hix, S. 2006. 'Why there is a democratic deficit in the EU', *Journal of Common Market Studies*, 44 (3), pp. 533-562.
- **Joint-Decision Trap:** Scharpf, F. W. 2006. "The Joint-Decision Trap Revisited", *Journal of Common Market Studies*, 44 (4), pp. 845-64
- **Positive vs. Negative Integration:** Scharpf, F.W. 1996. "Negative and Positive Integration in the Political Economy of European Welfare States" in G. Marks, F.W. Scharpf, P.C. Schmitter and W. Streeck (eds), *Governance in the European Union*, London: Sage, pp. 15-39.
- **Enlargement (fatigue):** Sedelmeier, U. 2005. "Eastern Enlargement", in Wallace, H., Wallace, W. and Pollack, M.A., *Policy-Making in the European Union*. 5th edition, Oxford: Oxford University Press.
- **Absorption Capacity:** Emerson, M., Aydin, S., De Clerck-Sachsse, J. & Noutcheva G. 2006. 'Just what is this 'absorption capacity' of the European Union?', CEPS Policy Brief no.113, Centre for European Policy Studies, Brussels.
- **EU Constitutional Reform:** Moravcsik, Andrew 2006. 'What can we learn from the collapse of the European constitutional project?', in *Politische Vierteljahresschrift*, 47 (2), pp. 219-241.

PART II: EUROPEANIZATION OF PUBLIC POLICIES

UNIT 4. ECONOMIC POLICY

- ***EMU Establishment:*** McNamara, K. R. 2005. "Economic and Monetary Union", in Wallace, H., Wallace, W. and Pollack, M.A. (eds), *Policy-Making in the European Union. 5th edition*, Oxford: Oxford University Press, ch. 6.
- ***EMU as Unifying Factor:*** Tsoukalis, L. 2005, *What Kind of Europe?*, ch 6.
- ***EMU and Leading Ideas:*** McNamara, K. 2006, "Economic Governance, Ideas and EMU: What Currency Does Policy Consensus Have Today?", *Journal of Common Market Studies*, 44(4), pp. 803-821.

UNIT 5. SOCIAL POLICY

- ***Welfare State and European Integration:*** Falkner, G. 2003. „Wohlfahrtsstaat und europäische Integration“, in Jachtenfuchs, M. and Kohler-Koch, B. (eds), *Europäische Integration. 2. Auflage*, Leske+Budrich, Opladen, pp. 479-512.
- ***EU Semi-Sovereign Welfare States:*** Leibfried, S. and Pierson, P. (eds) 1995. *European Social Policy*, The Brookings Institution, Washington D.C, ch. 2 pp.43-77. Leibfried, S. and Pierson, P. 2000. "Social Policy. Left to Courts and Markets?", in H. Wallace and W. Wallace (eds), *Policy-Making in the European Union 4th ed.*, Oxford: Oxford University Press, pp. 267-292.
- ***Recalibrating European Welfare States:*** Hemerijck, A. (2006). *Recalibrating Europe's semi-sovereign welfare states*, Wissenschaftszentrum Berlin für Sozialforschung (WZB) Working Paper no. SP I 2006-103, Berlin: WZB.

UNIT 6. ENVIRONMENTAL POLICY

- ***EU Environmental Policy:*** Lenschow, A. 2005. *Environmental Policy*, in Wallace, H., Wallace, W. and Pollack, M.A., *Policy-Making in the European Union. 5th edition*, Oxford: Oxford University Press;
- ***Europeanization of National Environmental Policies:*** David Vogel 2003, "The Hare and the Tortoise Revisited: The New Politics of Consumer and Environmental Regulation in Europe," *British Journal of Political Science*, 33, pp. 557-580.
- ***Environmental Policy Convergence:*** Busch, P. and Jörgens, H. 2005, "The international sources of policy convergence: explaining the spread of environmental policy innovations", *Journal of European Public Policy*, 12 (5), pp. 860 – 884.

UNIT 7. SECURITY POLICY

- ***EU Foreign and Security Policy (EFSP):*** Wallace, W. 2005, "Foreign and Security Policy", in Wallace, H., Wallace, W. and Pollack, M.A., *Policy-Making in the European Union. 5th edition*, Oxford: Oxford University Press;
- ***EFSP and Leading Ideas:*** Howorth, J. 2004, "Discourse, Ideas, and Epistemic Communities in European Security and Defense Policy", *West European Politics*, 27 (2). pp. 211-234.
- ***EFSP Governance:*** Kirchner, E. J. 2006, "The Challenge of European Union Security Governance", *Journal of Common Market Studies*, 44 (5), pp. 947-968.

PART III: EU GOVERNANCE

UNIT 8. ECONOMIC GOVERNANCE

- ***Economic Governance and Capitalism***: Tsoukalis, L. 2005, *What Kind of Europe?*, ch 5.
- ***EU Economic Governance***: Sapir et al. 2004, "Economic Governance in the European Union" in Sapir et al. (eds), *An Agenda for Growing Europe. The Sapir Report*, Oxford University Press, ch. 6.
- **European Central Bank (ECB)**: Kathleen R. McNamara 2002, « Managing the Euro : The European Central Bank » in John Peterson and Michael Shackleton (eds.), *The Institutions of the European Union*, Oxford University Press, 169-189

UNIT 9. POLITICAL GOVERNANCE

- ***The Political System of the EU***: Nugent, N. and Paterson, W. 2003, "The Political System of the European Union", Menon, A. and Hayward, J.E.S., *Governing Europe*, Oxford: Oxford University Press, ch. 6; Hix, S. 2003, "Parteien, Wahlen und Demokratie in der EU", in Jachtenfuchs, M. and Kohler-Koch, B. (eds), *Europäische Integration. 2. Auflage*, Leske+Budrich, Opladen, pp. 151-182.
- ***EU and National Politics***: Schmidt, V. A. 2006, *Democracy in Europe*, Oxford: Oxford University Press, ch. 4, pp.155-218.
- ***Plurilateral Governance***: Zielonka, J. 2007. "Plurilateral Governance in the Enlarged European Union", *Journal of Common Market Studies*, 45 (1), pp. 187-209.

UNIT 10. SOCIAL GOVERNANCE

- ***EU and Social Governance***: Carmel, E. 2005. 'Governance and the Constitution of a European Social', in J. Newman (ed.) *Remaking Governance. Peoples, Politics and the Public Sphere*, Policy Press: Bristol, pp. 59-80.
- ***The Open Method of Coordination (OMC)***: Pochet, P. 2005. "The Open Method of Coordination and the Construction of Social Europe: An Historical Overview" in Zeitlin, P. Pochet, and L. Magnusson (eds), *The Open Method of Coordination in Action. The European Employment and Social Inclusion Strategies*, ch. 2; Zeitlin, J. 2005. "Conclusion: The Open Method of Coordination in Action: Theoretical Promise, Empirical Realities, Reform Proposals", in Zeitlin, P. Pochet, and L. Magnusson (eds), *The Open Method of Coordination in Action. The European Employment and Social Inclusion Strategies*.
- ***EU Social Policy and Lisbon Strategy***: Daly, M. 2006, "EU Social Policy after Lisbon", *Journal of Common Market Studies*, 44 (3), pp. 461-81.